

# **EXHIBIT A**

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 3

Complete if Known	
Application Number	10/565,484
Filing Date	January 17, 2006
First Named Inventor	CHEUNG, Nai-Kong V.
Art Unit	1623
Examiner Name	Eric Olson
Attorney Docket Number	639-C-PCT-US

## U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>8</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	6	WO 2006/007372	01-19-2006	Gary R. OSTROFF		
	7	EP 0 759 089 B1	04-18-1995	Biotec Pharmacon ASA		

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Sheet	2	of	3	Attorney Docket Number	639-C-PCT-US

<b>OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	8	Australian Office Action, June 12, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Australian Application No. 2008207369, Filed August 18, 2008, continuation-in-part of PCT/US07/01427		
	9	Canadian Office Action, March 26, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Canadian Application No. 2,434,938, Filed July 15, 2003, National Stage of PCT/US02/01276		
	10	Chinese Office Action, July 24, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Chinese Application No. 200480020356.6 (Atty. Dkt. #639-C-PCT-CN), Filed January 16, 2006, National Stage of PCT/US04/23099		
	11	Chinese Office Action, February 27, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Chinese Application No. 200480020356.6, Filed January 16, 2006, National Stage of PCT/US04/23099		
	12	European Office Action, March 30, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, European Application No. 02 707 502.7, Filed August 4, 2003, National Stage of PCT/US02/01276		
	13	Mexican Office Action, May 29, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Mexican Application No. PA/a/2006/000615 (Atty. Dkt. #639-C-PCT-MX), Filed July 16, 2004, National Stage of PCT/US04/23099		
	14	U.S. Advisory Action, August 6, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008		
	15	U.S. Advisory Action, July 10, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008		
	16	U.S. Office Action, June 2, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 11/334,763, Filed January 17, 2006		
	17	U.S. Office Action, April 17, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008		

Examiner Signature		Date Considered	
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<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<b>Complete If Known</b>	
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#### OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

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